

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: FRY'S METALS, INC.Application No./Patent No.: 5,955,141Filed/Issue Date: September 21, 1999

Titled:

FRY'S METALS, INCa corporation

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____ Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____ Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____ Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

William S. Gorgone

Vice President, Secretary & C

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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Your assignment has been received by the USPTO.
The coversheet of the assignment is displayed below:

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the assignee name previously recorded on Reel 009862 Frame 0922. Assignor(s) hereby confirms the Assignment.										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Andrew McIntosh Soutar</td><td>03/23/1999</td></tr><tr><td>Peter T. McGrath</td><td>03/26/1999</td></tr></tbody></table>		Name	Execution Date	Andrew McIntosh Soutar	03/23/1999	Peter T. McGrath	03/26/1999				
Name	Execution Date										
Andrew McIntosh Soutar	03/23/1999										
Peter T. McGrath	03/26/1999										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>FRY'S METALS, INC.</td></tr><tr><td>Street Address:</td><td>600 Route 440</td></tr><tr><td>City:</td><td>Jersey City</td></tr><tr><td>State/Country:</td><td>NEW JERSEY</td></tr><tr><td>Postal Code:</td><td>07304</td></tr></table>		Name:	FRY'S METALS, INC.	Street Address:	600 Route 440	City:	Jersey City	State/Country:	NEW JERSEY	Postal Code:	07304
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State/Country:	NEW JERSEY										
Postal Code:	07304										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Patent Number:</td><td>5955141</td></tr></tbody></table>		Property Type	Number	Patent Number:	5955141						
Property Type	Number										
Patent Number:	5955141										

CORRESPONDENCE DATA	
Fax Number:	(314)345-7600
Phone:	314-345-7000
Email:	kbastunas@senniger.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Paul Fleischut- Senniger Powers LLP
Address Line 1:	100 North Broadway, 17th Floor
Address Line 4:	St. Louis, MISSOURI 63102
ATTORNEY DOCKET NUMBER:	CEDE 2411
NAME OF SUBMITTER:	Paul I. J. Fleischut
Signature:	/paul fleischut/
Date:	09/26/2011
Total Attachments: 8 source=01592755#page1.tif source=01592755#page2.tif source=01592755#page3.tif source=01592755#page4.tif source=01592755#page5.tif source=01592755#page6.tif source=01592755#page7.tif source=01592755#page8.tif	
RECEIPT INFORMATION	
EPAS ID:	PAT1702617
Receipt Date:	09/26/2011
Fee Amount:	\$40

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DECLARATION OF CORRECTION
OF ASSIGNMENT RECORDATION AGAINST US PATENT 5,995,141
At Reel/Frame 009862/0922

I, Paul Fleischut, attorney for FRY'S METALS, INC., declare that inventors Andrew McIntosh Soutar and Peter T. McGrath when they assigned US patent application 08/932,392 (now US patent 5,995,141 PROCESS FOR SILVER PLATING IN PRINTED CIRCUIT BOARD MANUFACTURE) to their employer FRY'S METALS, INC. in 1999 the assignment inadvertently referred to the assignee's dba "ALPHA METALS, INC." rather than to its legal name "FRY'S METALS, INC.," a Delaware corporation. Attached is a Business Entity Status Report from New Jersey which lists Alpha Metals, Inc. as an associated name of Fry's Metals, Inc.

This inadvertent error occurred in good faith and was discovered after the patent issued.

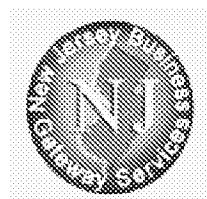
The 1999 assignment should have referred to the Delaware corporation assignee as "FRY'S METALS, INC." rather than as "ALPHA METALS, INC."; so assignee hereby requests a correction of the 1999 recordation at Reel/Frame 009862/922.

The undersigned declares that he is properly authorized to execute this document on behalf of the owner, and that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true.

Date: Sept 21, 2011

By: Paul Fleischut

Name: Paul Fleischut
Title: Attorney for Assignee



New Jersey State Business Gateway Service

Corporate and Business Information Reporting

Business Entity Status Report

Printing Instructions: Open your Browser's Page Setup menu and set your page margins to 0.25". Use your Browser's Print option to print the report as seen on screen.

Saving Instructions: Save this file to your hard drive for later viewing by using the Browser's "Save As" function.

All available information is displayed.

Status Report For: **FRY'S METALS INC.**

Business Name: **FRY'S METALS INC.**

Report Date: **08/19/2011**

Business ID Number: **0100222151**

Transaction Number: Sequence: **1901207: 1**

Business Type: **FOREIGN PROFIT CORPORATION**

Status: **ACTIVE**

Filing Date: **03/23/1984**

Home Jurisdiction: **DE**

Status Change Date:

Stock Amount: **0**

DOR Suspension Start Date:

DOR Suspension End Date:

Tax Suspension Start Date:

Tax Suspension End Date:

Annual Report Month: **3**

Last Annual Report Filed: **01/10/2011**

For Last Annual Report Paid Year: **2011**

Incorporator:

Agent: **THE CORPORATION TRUST COMPANY**

Agent Address: **820 BEAR TAVERN ROAD**

WEST TRENTON, NJ 08628

Office Address Status: **Deliverable**

Main Business Address: **ONE COOKSON PLACE**

PROVIDENCE, RI 02903

Principal Business Address: **109 CORPORATE BLVD.**

SOUTH PLAINFIELD, NJ 07080

Associated Names

Name: **ALPHA METALS INC.**

Type Description: **Fictitious Name**

Name: **FEDERATED-FRY METALS, INC.**

Type Description: **Fictitious Name**

Officers/Directors/Members

1) Title: **PRESIDENT**

Name: **RICK ERTMANN**

Address: **109 CORPORATE BLVD**

SOUTH PLAINFIELD, NJ 07080

2) Title: **TREASURER**
Name: **GREGG GATTA**
Address: **ONE COOKSON PLACE**
PROVIDENCE, RI 02903

3) Title: **SECRETARY**
Name: **WILLIAM GORGONE**
Address: **ONE COOKSON PLACE**
PROVIDENCE, RI 02903

4) Title: **VICE PRESIDENT**
Name: **ROBERT LANDRY**
Address: **ONE COOKSON PLACE**
PROVIDENCE, RI 02903

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Original Certificate Only (For example, Certificate of Incorporation);

Changes and Amendments to the Original Certificate Only; OR

All Charter Documents (Original Certificate and Changes/Amendments)

And/or

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JUN 23 1999



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Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 16, 1999 MINTZ LEVIN, BOSTON
BOSTON DOCKET DEPT. PTAS
MINTZ, LEVIN, COHN, FERRIS ETAL.
DAVID B. BERNSTEIN
ONE FINANCIAL CENTER
BOSTON, MA 02111



101005664A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/1999

REEL/FRAME: 9862/0922
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SOUTAR, ANDREW MCINTOSH

DOC DATE: 03/23/1999

ASSIGNOR:

MCGRATH, PETER T.

DOC DATE: 03/26/1999

ASSIGNEE:

ALPHA METALS, INC.
600 ROUTE 440
JERSEY CITY, NEW JERSEY 07304

SERIAL NUMBER: 08932392

FILING DATE: 09/17/1997

PATENT NUMBER:

ISSUE DATE:

JACQUELINE MOORE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andrew McIntosh Soutar
Peter T. McGrath

Additional names(s) of conveying party(ies) ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 3/23/99 and 3/26/99

2. Name and address of receiving party(ies):

Name: Alpha Metals, Inc.

Internal Address: _____

Street Address: 600 Route 440

City: Jersey City State: NJ ZIP: 07304

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No.
08/932,392

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David B. Bernstein

Internal Address: Mintz, Levin, Cohn, Ferris

Glovsky and Popeo, P. C.

Street Address: One Financial Center

City: Boston State: MA ZIP: 02111

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0311


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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David B. Bernstein

Name of Person Signing



Signature

3/30/99

Date

4

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned, hereby

Sell, assign and transfer to Alpha Metals, Inc., a Delaware corporation, having a place of business at 600 Route 440, Jersey City, New Jersey 07304, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled PROCESS FOR SILVER PLATING IN PRINTED CIRCUIT BOARD MANUFACTURE, filed with the U.S. Patent and Trademark Office on September 17, 1997, and assigned Serial No. 08/932,392, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts as known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein express is possessed by me.

Date: _____

Peter T. McGrath

State of _____

County of _____

Subscribed and sworn to before me this ____ day of _____, 1999.

SEAL

Notary Public

My commission expires _____.

Date: 23 March 1999

Ande Soutar

Andrew McIntosh Soutar

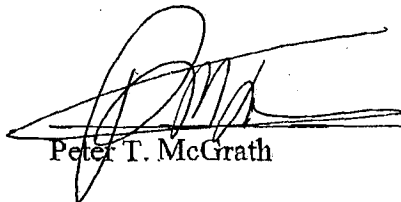
ADITHAK SAMAT
Witness

March 23 HSIUNG HAHN JONG
Witness

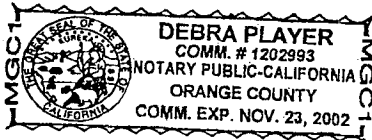
Serial No. 08/570,442

Attorney Docket No. 17564-013

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein express is possessed by me.

Date: 3/26/99
Peter T. McGrathState of CALIFORNIA
County of ORANGESubscribed and sworn to before me this 26 day of MARCH, 1999.

SEAL


Notary PublicMy commission expires NOV 23, 2002

Date: _____

Andrew David Price_____
Witness_____
Witness

TRADOCs: 1187181.1 (pg19011.doc)

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UNITED STATES DEPARTMENT OF COMMERCE
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B. Patent No.(s)

Serial No.
08/932,392

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Internal Address: Mintz, Levin, Cohn, Ferris

Glovsky and Popeo, P. C.

Street Address: One Financial Center

City: Boston State: MA ZIP: 02111

6. Total number of applications and patents involved:

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- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0311

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9. Statement and signature.

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David B. Bernstein

Name of Person Signing



Signature

3/30/99

Date

4

Total number of pages including cover sheet, attachments, and document: